

Serrano's results on isotriviality criteria:

① If $\varphi: S \rightarrow C$ is an elliptic fib, then
 $[K(S, K_{S/C}) \leq 1 (\because K_{S/C} \hookrightarrow K_S)] \varphi$ is ^{not} isotrivial

iff $K(K_{S/C}) = 1$.

② Suppose $\varphi: S \rightarrow C$ is a fibration with general fiber of $g \geq 2$. If $K(K_{S/C}) = 1$, then φ is isotrivial. Converse is almost true.

Then let F be a reduced foliation with $K=1$.

Then F is one of: ① Riccati foliation

② Turbulent foliation,

③ Non isotrivial elliptic fibration,

④ Isotrivial fib of $g \geq 2$.

pf: $\kappa(F) = 1 \Rightarrow \nu(F) = 1$ & $P^2 = 0 \Rightarrow P$ is bpf,
 given $\pi: X \rightarrow B$. If F is a general fiber of π ,
 $K_F \cdot F = 0$. Note that $K_F = \mathcal{O}_X(nF + D)$ for some
 $m, n \in \mathbb{N}$ & $D \geq 0$ which is π -vertical.

If $F = T_{X/B}$, then $K_F \cdot F = 0 \Rightarrow \pi$ is an elliptic
 fibn. Nonisotriviality follows from Serrano.

Otherwise $F \cap F \in C$ thus $(F, F) = K_F \cdot F + F^2 = 0$.

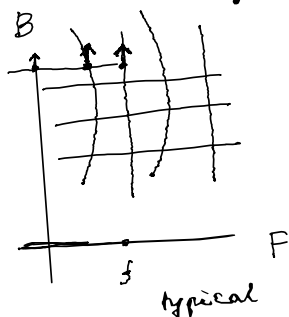
If F is rational or elliptic, F is Ricci flat or
 turbulent.

Suppose $X \rightarrow B$ with $g(F) \geq 2$; $F \cap F$, F the
 generic fiber of π . Let $B^* \subset B$ denote the F -trans-
 verse fibres iso to F , in particular $\pi|_{X^*}: X^* \rightarrow B^*$
 is a fiber bundle with fiber F . The mono-
 -dromy $\rho: \pi_1(B^*) \rightarrow \text{Aut}(F)$ is essentially trivial
 since $\text{Aut}(F)$ is finite. Thus for a finite base
 change $\nu: \tilde{B} \rightarrow B$ s.t. $\tilde{\pi}^*: \tilde{X}^* \rightarrow \tilde{B}^*$ is trivial
 with fiber F ; i.e. $\tilde{X}^* \cong F \times \tilde{B}^*$ [The category of
 locally constant sheaves on \tilde{B}^* is equivalent to
 the category of $\pi_1(\tilde{B}^*)$ -sets]. $\tilde{F}|_{F \times \tilde{B}^*}$ is transver-
 -se to the fibers of $F \times \tilde{B}^* \rightarrow \tilde{B}^* \Rightarrow \tilde{F}|_{\tilde{X}^*}$ is
 induced by $\tilde{X}^* \cong F \times \tilde{B}^* \rightarrow F$. Thus the leaves
 of \tilde{F} are algebraic & are the closure of leaves
 of $\tilde{F}|_{\tilde{X}^*}$, thus isom to \tilde{B} . $g(\tilde{B}) \geq 2$. $K_{\tilde{F}} \cdot F$
 $= 0 \Rightarrow K_{\tilde{F}} = (\tilde{\pi}^*)^* K_{\tilde{B}} \therefore \kappa(K_{\tilde{B}}) = 1$ [Alternati-
 -vely $K_{\tilde{F}} \cdot \tilde{B} > 0$ also shows this].

(1) Explanation: The projection $T_{F \times \tilde{B}^*} \xrightarrow{\pi} T_F$ restricts to

give $F \xrightarrow{\tilde{\pi}^*} T_F$ $\tilde{\pi}^* t_f = t_f \times T_{(f = \tilde{B}^*)}$

Suffices to check $\pi|_F$ is
 an isom.



Last step is to check $\tilde{F}: \tilde{X} \rightarrow F$ with fiber \tilde{B} is
 an isotrivial fibration. But $\kappa(K_{\tilde{X}/F}) = \kappa(K_{\tilde{F}}) = 1$

[Note: $K_{\tilde{F}} = K_{\tilde{X}/F} - R$; R the ramification divisor.
 But R can be made 0 by (semi)stable reduction.

$\therefore K_{\tilde{F}} = K_{\tilde{X}/F}$. Apply Serrano (2). \square

Prop (Barr Ch 6) Suppose $h^0(X, N_F^*) \geq 1$ & that F is a non-contractible connected compact F -invariant curve $C \subset X$. Then F is a foliation over a curve with $g \geq 1$.

Pf: Let $0 \neq w \in H^0(N_F^*)$. Then (by F -inv of C), $w|_C = 0$.
 Letting U denote a tubular nbd of C , the d -R cohomology class of $w|_U$ is zero. \therefore $w = df$ for some holomorphic f on U with $f|_C = 0$. Note: the level sets of f are compact, hence algebraic.
 Non contractibility of $C \Rightarrow f$ vanishes only on C .
 $\therefore f$ defines a proper fibration around C , hence a global one.

Then let F be a reduced foliation on X with $K(F) = 0$. Then $\nu(F) = 0$.

Pf: Let $K_F = P + N = \sum_{j=1}^p a_j E_j + N$ be the Zariski decomposition.

ETB: $P \equiv 0$.
 Since birational reduced foliations have the same K & ν , some F is relatively minimal. Then $\text{Supp } N$ is a disjoint union of maximal F chains. Write $N = \sum_{j=1}^m N_j$, where $N_j = \sum_{i=1}^{k(j)} b_{j,i} D_{j,i}$ is a max F -chain for each j , $b_{j,i} \in (0, 1)$. Clearly $P \cdot E_j = P \cdot D_{j,i} = 0 \forall i, j$.

Claim: Each $E_j \subset \text{Supp}(P)$ is F -invariant.

Pf: Suppose E_i is not F -invariant. For each j , set

$$h(j) = \begin{cases} \min\{i=1, \dots, k(j) : D_{j,i} \cap E_i \neq \emptyset\} & \text{if } N_j \cap E_i \neq \emptyset \\ k(j) + 1 & \text{if } N_j \cap E_i = \emptyset. \end{cases}$$

Let $\bar{N}_j = \sum_{i=h(j)}^{k(j)} b_{j,i} D_{j,i}$ (in particular $E_i \cdot \bar{N}_j = E_i \cdot N_j$).

Let $Q = E_i + \sum_{j=1}^m \bar{N}_j$; note \bar{N}_j is defd only when $E_i \cap N_j \neq \emptyset$.

Then $\forall D_{j,i} \subset \text{Supp } Q$, it's easy to check the following:

① $Q \cdot D_{j,i} = (E_i \cdot b_{j,i} + N_j \cdot D_{j,i} - b_{j,i} |D_{j,i}|) \geq 0$ & > 0 unless $h(j) = 1$.

② For $i > h(j)$, $Q \cdot D_{j,i} = (E_i \cdot b_{j,i} + N_j \cdot D_{j,i}) \geq 0$ & > 0 unless $E_i \cap D_{j,i} = \emptyset$.

③ $Q \cdot E_i = (E_i + N) \cdot E_i = (E_i + K_F) \cdot E_i = \text{tang}(F, E_i) \geq 0$ & > 0 unless $F \cap E_i = \emptyset$.

The information from ① is negative considered on the vs spanned by $\{E_j, D_{j,i}\}_{i,j}$, with kernel generated by P (recall $P \cdot E_j = P \cdot D_{j,i} = 0 \forall i, j$. dim ker = 1 by H(T)).

Thus $Q^2 \leq 0$. These combined with ①, ②, ③ $\Rightarrow Q \cdot D_{j,i} = Q \cdot E_i = 0 \forall i, j$. \therefore Then Q is proportional to P by H.T.

We also conclude that $E_i \cap F$ intersects each N_j in at most one point - on $D_{j,i}$. Since P & Q are proportional, $E_i \cup \text{Supp}(\sum N_j)$ is a connected component of $\text{supp } P$.

Let $X \rightarrow \hat{X}$ contraction of each maximal F -chain intersecting E_i . In a tubular nbd of \hat{E}_i , the leaves of \hat{F} are discs intersecting \hat{E}_i transversely.

$s \in H^0(K_{\hat{F}} \otimes L)$ gives a section $\hat{s} \in H^0(K_{\hat{F}} \otimes L)$ vanishing only on \hat{E}_i when restricted to this tubular neighbourhood (b/c we've contracted all maximal F -chains intersecting E_i & all components of P intersecting E_i are actually components of N).

On each leaf (in this neighbourhood), $\hat{s}|_L = df|_L$ for some holomorphic f on \hat{L} vanishing at the intersection of \hat{L} with \hat{E}_i . These f 's patch to give a holomorphic f on this nbd vanishing only along \hat{E}_i (with some mult ≥ 2). As before, the level sets of F give a proper fibration on this nbd having \hat{E}_i as a multiple fibre. This extends to a fibration on all of \hat{X} . But then $K(P) = 1 \neq 0$.

This finishes the proof of the claim. \square

From now, assume $\text{Supp}(P)$ is connected.

Def: A Q^+ -div $P = \sum_{j=1}^p a_j E_j$ is of elliptic fiber type if $P \cdot E_j = 0 \forall j$ & $K_X \cdot P = 0$.

Fact: Such divisors fall in Kodaira's list of singular fibers of elliptic fibrations.

Claim: The positive part P of K_F is of elliptic fiber type. Also $\text{Sing } F \cap \text{Supp}(P) = \text{Sing } \text{Supp}(P)$ & all these singularities are non-degenerate.

Pf: $N_F \cdot E_j = E_j^2 + Z(F, E_j)$. Now $Z(F, E_j) \geq (\sum E_k) \cdot E_j$ (all cpts being invariant, each intersection contributes at least 1 to $Z(F, E_j)$).

Thus $N_F \cdot E_j \geq (\sum E_k) \cdot E_j$, giving $N_F \cdot P \geq \sum E_k \cdot P = 0$.

Now, $K_X \cdot P = (N_F^* + P + N) \cdot P = N_F^* \cdot P$. If $N_F \cdot P > 0$, then Riemann-Roch $\Rightarrow K(P) = 1$. Thus $N_F \cdot P = 0 = K_X \cdot P$. The claim about singularities follows b/c all the inequalities above become equalities. \square

Now we want to show F an elliptic fibration with fiber P . This would contradict $K(F) = 0$ showing $P \equiv 0$.

Case 1: $h^1(X, \mathcal{O}_X) > 0$.

Take $w \in H^0(\Omega_X^1)$. If $w|_F \equiv 0$, it induces a non-zero global section of N_F^* & then the above Propn $\Rightarrow F$ is an elliptic fibration with fiber P (as derived). If $w|_F \neq 0 \neq w \in H^0(\Omega_X^1)$, then each w induces a non-zero section of K_F . Now $h^0(K_F) \leq 1 \Rightarrow h^0(\Omega_X^1) = 1$. $\therefore \text{alb}_X: X \rightarrow B$ is a fibration over an elliptic curve. There are two possibilities:

a) P is a fiber of alb_X & we're done.

b) P is transverse to alb_X . Any non-trivial 1-form anyway defines a section of K_F ; transversality of $P \Rightarrow$ the section does not vanish along P ; this contradicts $K_F = \mathcal{O}_X(L(P+N))$.

Case 2: $h^1(\mathcal{O}_X) = 0$.

Let Q be the smallest integral multiple of P . We claim that showing a multiple of Q is a fiber is equivalent to showing $\mathcal{O}_X(Q)|_Q$ is torsion. Indeed, let m be the order of $\mathcal{O}_X(Q)|_Q$. Considering exact sequences

$$0 \rightarrow \mathcal{O}_X((n-1)Q) \rightarrow \mathcal{O}_X(nQ) \rightarrow \mathcal{O}_X(nQ)|_Q \rightarrow 0$$

for $n = 1, \dots, m-1$ gives us $h^1(\mathcal{O}_X((m-1)Q)) = h^1(\mathcal{O}_X) = 0$ (recursively). $\therefore h^0(\mathcal{O}_X(mQ)) = 2$ & hence Q is a fiber as needed.

The next step is to show that $N_F^* \otimes \mathcal{O}_X(Q_{\text{red}}) = \mathcal{O}_X(-kQ)$ for some $k \in \mathbb{N}$ (is a nbd of Q) (\neq) (we skip the proof)

St₁: Now suppose Q is a smooth elliptic curve. Then $N_F|_Q = \mathcal{O}_X(Q)$ & (\neq) shows that $\mathcal{O}_X(Q)|_Q$ is torsion.

St₂: Similar analysis can be applied if Q is a cycle of (-2) curves.

General case: Q is of elliptic fiber type \therefore it's in Kodaira's list of singular fibers of elliptic fibrations. Suppose Q is of type II, ie $Q = 6E + 3D_1 + 2D_2 + D_3$ where E, D_i are smooth rational curves with $E^2 = -1, D_1^2 = -2, D_2^2 = -3, D_3^2 = -6$.

Idea: Though Q is not a fiber, we can apply "Stable Reduction" to it: $\exists W \xleftarrow{\pi} V \xrightarrow{\pi} X$ where π is a finite cover extracting "6th root of Q " & π is a blow down of rational curves in $\pi^{-1}(Q)$. We have:

① $C = \pi(\pi^{-1}(Q_{\text{red}}))$ is smooth elliptic curve of zero self-intersection & $\pi^* \mathcal{O}_X(Q) = \mathcal{O}_W(6C)$; ② $\mathcal{F} = \pi^* F$ is tangent to C & smooth around it (Ok since all singular curves from intersections of comps of Q);

③ $N_{\mathcal{F}}^* \otimes \mathcal{O}_W(C) = \pi^*(N_F^* \otimes \mathcal{O}_X(Q_{\text{red}})) = \mathcal{O}_W(-6kC)$.

Now to prove $\mathcal{O}_X(Q)|_Q$ is torsion, NIS: $\mathcal{O}_W(6C)|_6C$ is torsion $\Leftrightarrow \mathcal{O}_W(C)|_6C$ is torsion. For this, we need an analogue of (\neq): on a nbd of C , $N_{\mathcal{F}}^* \otimes \mathcal{O}_W(C) = \mathcal{O}_W(-hC)$ for some $h \in \mathbb{N} \setminus 6\mathbb{N}$ (\neq). Proof skipped. With this, we're done: ③ $\Rightarrow \mathcal{O}_W(-6kC) = \mathcal{O}_W(-hC)$. Since $6 \nmid h$, $\mathcal{O}_W(C)$ is torsion. $\therefore \mathcal{O}_X(Q)|_Q$ is also torsion. We thus conclude that Q is a fiber of an elliptic fib. This contradiction $\Rightarrow P = 0$. \square

Cor: Let F be a reduced foliation with $K(F) \neq \nu(F)$. Then $K(F) = -\infty \Leftrightarrow \nu(F) = 1$.

Pf: $K(F) = 0 \Leftrightarrow \nu(F) = 0$. $K(F) = 1 \Rightarrow F$ is bpf & $P^2 = 0$. $\therefore \nu(F) = 1$. $K(F) = 2 \Leftrightarrow \nu(F) = 2$. \square