

§1. (Recap)

Recall: • definition of  $F$ -exc curves.

• Facts about  $F$ -exc curves: An  $F$ -exc curve  $C \subset X$  can be one of two kinds.

i)  $C$  contains only one singularity  $q$  of  $F$  with  $Z(F, C, q) = 1$ . If  $\pi: X \rightarrow X'$  is the blowdown of  $C \rightarrow \dot{C}$  of  $C$ , then  $\pi(C) = p \in F'_{sm}$ .

ii)  $C$  contains two singular points  $q_1, q_2$  of  $F$  with  $Z(F, C, q_1) = Z(F, C, q_2) = 1$ . Then  $p$  is a reduced singularity of  $F'$  &  $a(p) = 1$ .

• definition & existence of relatively minimal models  
Minimal models. (Note: if  $(X, F)$  is minimal, any birational self-map is an iso.)

• Prop: Let  $(X, F)$  be a reduced foliation. Then

①  $(X, F)$  is relatively minimal iff any birational morphism  $(X, F) \xrightarrow{\pi} (Y, G)$  onto a reduced foliation is an iso.

②  $(X, F)$  is minimal iff any birational map  $(Y, G) \dashrightarrow (X, F)$  from a reduced foliation is a morphism.

Examples: ① Let  $\pi: X \rightarrow B$  be a rational fibration,  $F = T_{X/B}$ . Then  $F$  is reduced & it's relatively minimal iff all fibers are smooth.  $F$  is never minimal [flipping the fiber].

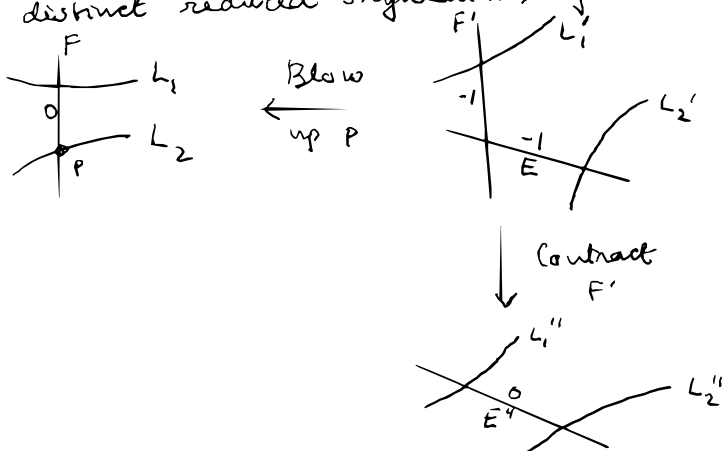
[Recall: Riccati foliation: Let  $\pi: X \rightarrow B$  be a rational fibration. A foliation  $F$  on  $X$  is Riccati w.r.t  $\pi$  if it's transverse to a general fiber of  $\pi$ ].

Prop: Let  $F$  be a foliation on  $X$  &  $F \simeq \mathbb{P}^1 \subset X$  s.t.  $F^2 = 0$  &  $F$  is  $F$ -invariant. Then  $F$  a rational fiber  $\pi: X \rightarrow B$  with fiber  $F$ . We then have:

① If  $Z(F, F) = 0$ , then  $F = T_{X/B}$ .

② If  $Z(F, F) = 2$ ,  $F$  is Riccati w.r.t  $\pi$ .

③ If  $Z(F, F) \neq 1$ .  
② Let  $F$  be Riccati w.r.t  $\pi: X \rightarrow B$ . Let  $F''$  be a smooth fiber of  $\pi$  which is  $F$ -invariant & contains two distinct reduced singularities of  $F$ .



If  $(X, F)$  is relatively minimal, so is  $(X'', F'')$  But  $X \not\cong X''$  can't be iso,  $\therefore (X, F)$  can never be minimal.

$(X, F)$  is called a non-trivial Riccati foliation.

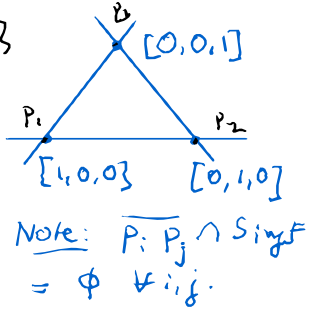
A Very Special Foliation.

Let  $P_1 = [1, 0, 0], P_2 = [0, 1, 0], P_3 = [0, 0, 1] \in \mathbb{P}^2$ ,  $T$  the automorphism of  $\mathbb{P}^2$  with  $P_1 \mapsto P_2 \mapsto P_3 \mapsto P_1$ . In particular,  $T[x_0, x_1, x_2] = [x_2, x_0, x_1] \forall [x_0, x_1, x_2] \in \mathbb{P}^2$ ,  $T^3 = id$ ,  $Fix(T) = \{q_1, q_2, q_3\}$  where  $q_i$  are of the form  $[1, \beta, \beta^2]$  with  $\beta^3 = 1$ .

Let  $z = \frac{x_0}{x_2}, w = \frac{x_1}{x_2}$  &  $\mathcal{L}$  the  $T$ -invariant foliation generated on  $(x_2 \neq 0)$  by  $z \frac{\partial}{\partial z} + (\frac{1+i\sqrt{3}}{2}) w \frac{\partial}{\partial w}$

One can check  $Sing(\mathcal{L}) = \{P_1, P_2, P_3\}$

& the lines  $\overline{\langle P_1, P_2 \rangle}, \overline{\langle P_2, P_3 \rangle}$  &  $\overline{\langle P_3, P_1 \rangle}$  are  $\mathcal{L}$ -invariant. In fact, these are the only  $\mathcal{L}$ -invariant lines.



Let  $Y_0 = \mathbb{P}^2 / T$  with induced foliation  $\mathcal{H}_0 \doteq \mathcal{L} / T$ .

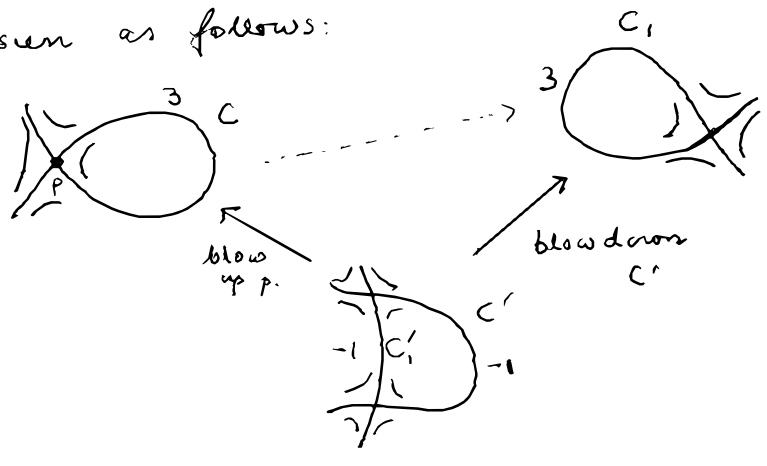
$Sing(Y_0) = \{\tilde{q}_1, \tilde{q}_2, \tilde{q}_3\}$  coming from  $Fix(T)$ . Let  $Y$  denote the minimal resolution of  $Y_0$  &  $\mathcal{H}$  the pullback foliation on it. Over each  $\tilde{q}_j, j=1, 2, 3$ ,  $Y$  consists of two  $(-2)$  curves  $D_j, E_j$  intersecting at a point. In all,  $\mathcal{H}$  has 7 foliation invariant curves:

(i)  $\{D_j, E_j\}_{j=1}^3$

(ii) the quotient of  $\overline{q_1 q_2} \cup \overline{q_2 q_3} \cup \overline{q_3 q_1}$  on  $Y$  - a nodal rational curve  $C$  with node  $p \in C^2 = 3$ .  $p$  is a reduced non deg sing of  $\mathcal{H}$ .

**[(Y, H) is called a very special foliation]**

In particular, there aren't any  $\mathcal{H}$ -exc curves. **H has a nontrivial birational self map.** This can be seen as follows:



Prop (Birational characterisation of Very Special foliation)

Let  $F$  be a foliation on a surface  $X$ ,  $C$  a rational curve with node  $p$ , invariant under  $F$ ,  $C^2 = 3$ . Suppose that  $p$  is a reduced non deg singularity of  $F$  & it's the unique singularity of  $F$  on  $C$ . Then  $F$  is birational to  $\mathcal{H}$ .

Pf: Skipped for now.

Back to Chapter 5:

Example 3: Let  $(Y, \mathcal{H})$  be the very special foliation. As noted above there aren't any  $\mathcal{H}$ -exc curves. Thus  $(Y, \mathcal{H})$  is relatively minimal. Since it has a nontrivial birational self map which isn't an isomorphism,  $(Y, \mathcal{H})$  isn't minimal.

Theorem: Let  $(X, F)$  be a foliation without a minimal model. Then it is birational to one of the following:

- 1) a rational fibration,
- 2) a nontrivial Ricciati foliation, or
- 3) the very special foliation  $(Y, \mathcal{K})$ .

Pf: Replace  $(X, F)$  by a relatively minimal model. Since this is not minimal by assumption,  $\exists f: (Y, G) \dashrightarrow (X, F)$  a birational map, which isn't a morphism where  $(Y, G)$  has reduced sing. Let

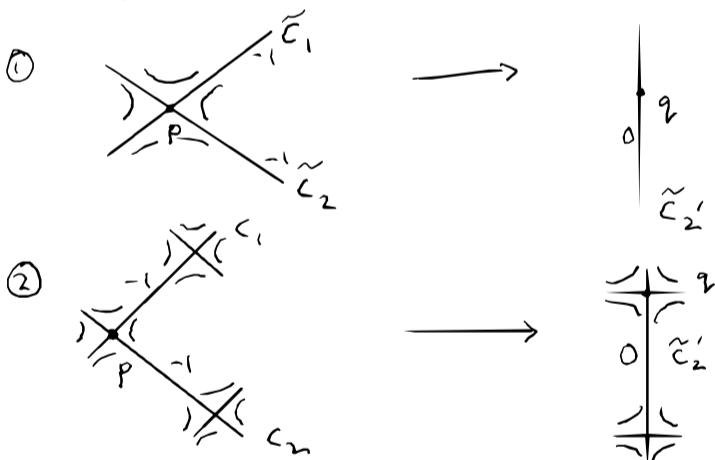
$(\tilde{Y}, \tilde{G}) \xrightarrow{\tilde{f}} (X, F)$  be a resolution of indeterminacy of  $f$  s.t.  $\tilde{Y} \rightarrow (Y, G) \dashrightarrow (X, F)$  is smooth. Then  $\tilde{G}$  has reduced singularities &  $\exists C \cong \mathbb{P}^1 \subset \tilde{Y}$  s.t.  $C^2 = -1$ ,  $\pi(C) = \text{pt}$ ,  $\tilde{f}(C) \neq \text{pt}$ . Thus  $C$  is  $\tilde{G}$ -exc. Note that  $\tilde{f}$  isn't an isom in a nbd of  $C$ : ow  $\tilde{f}(C)$  would be  $F$ -exc. In particular,  $C$  intersects some  $\tilde{f}$ -exc curve; this curve is also  $\tilde{G}$ -exc.

Conclusion: if  $(X, F)$  has no minimal model, it is birational to some reduced foliation  $(\tilde{X}, \tilde{F}) \leftarrow \exists \tilde{C}_1, \tilde{C}_2 \subset \tilde{X}$  which are  $\tilde{F}$ -exc s.t.  $\tilde{C}_1 \cap \tilde{C}_2 \neq \emptyset$

If  $p \in \tilde{C}_1 \cap \tilde{C}_2$ , then  $p$  is reduced nondup (b/c  $Z(\tilde{F}, \tilde{C}_1, p) = Z(\tilde{F}, \tilde{C}_2, p) = 1$  by description of  $\tilde{F}$ -exceptional curves). Also,  $\tilde{C}_1$  transversely intersects  $\tilde{C}_2$  (ow if  $\tilde{C}_1 \cup \tilde{C}_2 \xrightarrow{\text{contr}} \tilde{C}'_2$ : non-reduced) &  $\#(\tilde{C}_1 \cap \tilde{C}_2) \leq 2$ .

Case a):  $\#(\tilde{C}_1 \cap \tilde{C}_2) = 1$ .

By contracting  $\tilde{C}_1, \tilde{C}_2$  transforms into a smooth rational curve  $\tilde{C}'_2$  with  $\tilde{C}'_2{}^2 = 0$ ,  $\tilde{C}'_2$  invariant under  $\tilde{F}'$ . We have the following possibilities:



[Note: we can't have something like  $\begin{matrix} C_1 \\ \diagdown \\ P \\ \diagup \\ C_2 \end{matrix} \rightarrow \begin{matrix} C'_2 \\ \bullet q \\ \diagup \\ \diagdown \end{matrix}$ : indeed  $Z(\tilde{F}', C'_2) = 1$  is ruled out by a propn in § 1]

If ① occurs,  $q \in C'_2$  is regular for  $\tilde{F}'$  &  $Z(\tilde{F}', C'_2) = 0$ . Then § 1 Prop  $\Rightarrow \tilde{F}'$  is a rational fibration with fiber  $C'_2$ .

If ② occurs,  $Z(\tilde{F}', C'_2) = 2$  & Propn  $\Rightarrow \exists$  a rational fibn (with special fiber  $C'_2$ ) s.t.  $\tilde{F}'$  is Ricciati wrt it. (That it's nontrivial Ricciati is clear from the picture.)

Case b):  $\#(C_1 \cap C_2) = 2$ . In this case, by Contracting  $C_1, C_2$  becomes a nodal rational curve  $C'_2$  & one can check  $C'_2{}^2 = 3$ . Then  $(\tilde{X}', \tilde{F}')$  is birational to the very special foliation by Propn in § 2. ■