

# BOUNDEDNESS

## DEFINITION

LET  $\mathcal{Q}$  BE A COLLECTION OF PROJ. VARIETIES.

WE SAY THAT  $\mathcal{Q}$  IS **BOUNDED** IF THERE EXISTS

A PROJECTIVE  
MORPHISM OF  
SCHEMES OF  
FINITE TYPE



SUCH THAT  $\forall X \in \mathcal{Q}, \exists t \in T$   
SUCH THAT  
 $X_t := h^{-1}(t)$  IS  
**ISOMORPHIC** TO  $X$ .

# EXAMPLE

•  $\mathcal{DP}^{\text{smooth}} = \{ X \mid X \text{ IS A delPEZZO SURFACE, SMOOTH} \}$

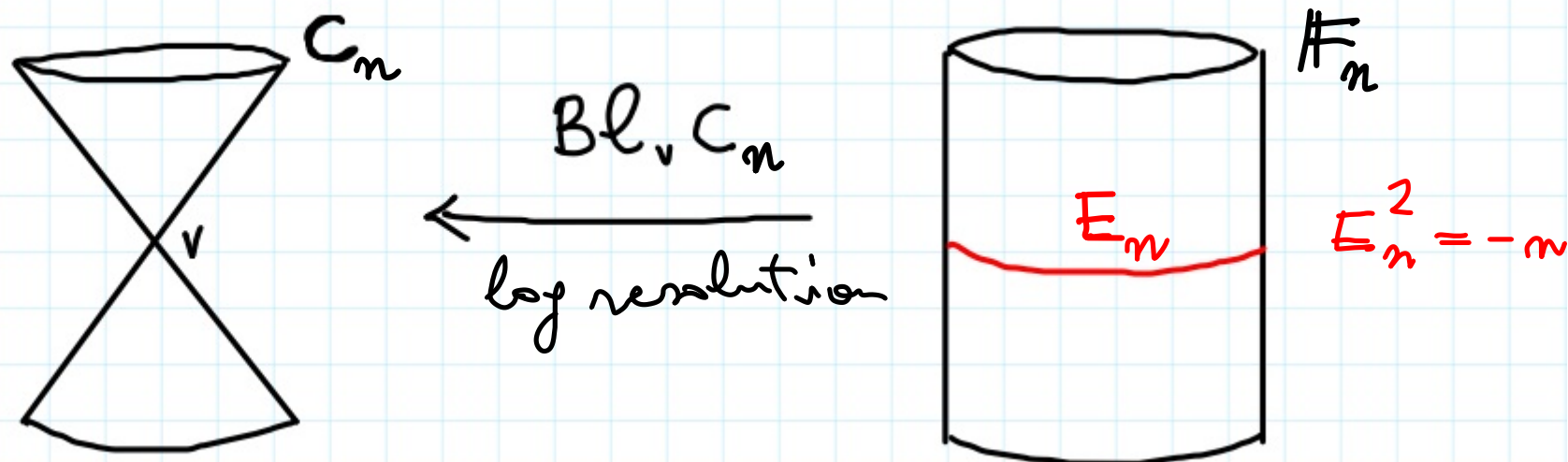
IS BOUNDED

$\mathbb{P}^2$ ,  $\mathbb{P}^1 \times \mathbb{P}^1$ , Bl  $\mathbb{P}^2$   
 $\leq 8$  pts

[KMM, Nadel] :  $\mathcal{F}_d^{\text{sm}} = \{ X \mid X \text{ Fano smooth} \}$   
dim  $X = d$   
terminal

IS BOUNDED

# EXAMPLE



$C_n$  = CONE OVER RAT'L NORMAL CURVE OF deg  $n$

$(C_n, 0)$  IS KET AND  $a(C_n, 0) = \frac{2}{n}$

•  $\infty^{\text{cones}} = \left\{ \pi_n^* K_{C_n} = K_{F_n} + \left(1 - \frac{2}{n}\right) E_n \mid n \in \mathbb{Z}_{>0} \right\}$  IS NOT BOUNDED

Let  $H_n$  be ample <sup>Cartier</sup> divisor on  $C_n$ .

$$\rightarrow \underbrace{\pi_n^* H_n}_{\substack{\text{Cartier} \\ \text{divisor} \\ \text{big + nef} \\ \text{on } \mathbb{F}_n}} = a E_n + b F_n, \quad a, b > 0$$

$\uparrow$   $\text{exc}(\pi_n)$        $\uparrow$  class of a fiber  
 $\mathbb{F}_n \rightarrow \mathbb{P}^1$

$$\pi_n^* H_n \cdot E_n = 0 = (a E_n + b F_n) \cdot E_n$$

$$= -n a + b$$

$$\pi_n^* H_n = l E_n + l n F_n \quad \text{for some } l > 0$$

$$\left( \pi_n^* H_n \right)^2 = -l^2 n + 2n l^2 = n l^2 \xrightarrow{n \rightarrow +\infty} +\infty$$

Let  $H_n$  be ample divisor on  $C_n$ .

$$\pi_n^* H_n = a E_n + b F_n, \quad a, b > 0$$

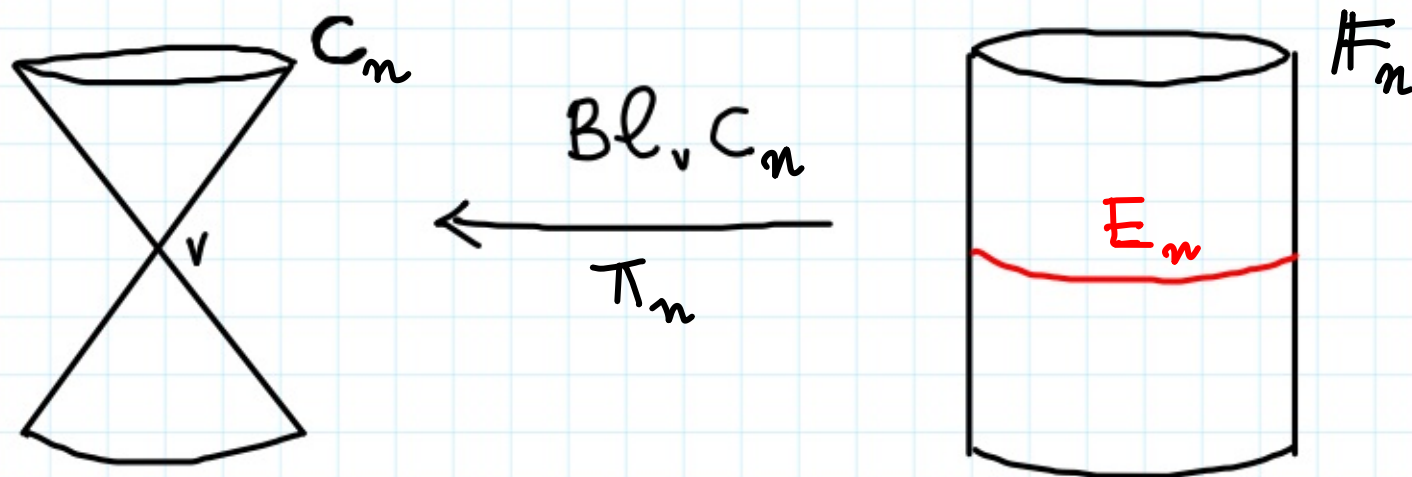
Moreover  $\pi_n^* H_n \cdot E_n = 0 \Rightarrow (a E_n + b F_n) \cdot E_n = 0$

$\parallel$   
 $-n \cdot a + b$

Then  $\pi_n^* H_n = l E_n + n l F_n$ , for some  $l > 0$ .

$$(\pi_n^* H_n)^2 =$$

## EXAMPLE



$C_n$  = CONE OVER RAT'L NORMAL CURVE OF deg  $n$

$(C_n, 0)$  IS KET AND  $a(C_n, 0) = \frac{2}{n}$

$\infty_d^{\text{cones}} = \{ C_n \mid n \leq d \}$  IS BOUNDED FOR FIXED  $d \in \mathbb{Z}_{>0}$   
(SINCE IT IS A FINITE SET)

BOUNDING  $n \iff$  BOUNDING  $\varepsilon \leq a(C_n, 0)$

[Alexeev, 92]: THE COLLECTION OF  $\varepsilon$ -KET FANO SURFACES IS  
BOUNDED, FOR ANY FIXED  $\varepsilon \in \mathbb{R}_{>0}$ .

# BOUNDEDNESS

## DEFINITION

LET  $\mathcal{Q}$  BE A COLLECTION OF PROJ. VARIETIES.

WE SAY THAT  $\mathcal{Q}$  IS **BIRATIONALLY BOUNDED**

IF THERE EXISTS

A PROJECTIVE  
MORPHISM OF  
SCHEMES OF  
FINITE TYPE



SUCH THAT  $\forall X \in \mathcal{Q}, \exists t \in T$   
SUCH THAT  
 $X_t := h^{-1}(t)$   
IS BIRATIONALLY  
ISOMORPHIC TO  
 $X$

EXAMPLE • Fix  $d \in \mathbb{Z}_{>0}$ . LET

$$\mathcal{R}_d = \left\{ X \mid \begin{array}{l} X \text{ IS SMOOTH PROJECTIVE, } X \text{ IS RATIONAL,} \\ \dim X = d \end{array} \right\}$$

$\mathcal{R}_d$  IS BIRATIONALLY BOUNDED BUT NOT BOUNDED.

$X \in \mathcal{R}_d$  IS BIRAT. ISOM TO  $\mathbb{P}^d$

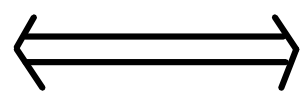
$\mathbb{P}^d$   
↓  
pt.



# LEMMA

Fix  $d \in \mathbb{Z}_{>0}$ . Let  $\mathcal{Q}$  be a collection of  
proj. var's of  $\dim = d$ .

$\mathcal{Q}$  is <sup>BIRAT.</sup>  
BOUNDED



$\exists C = C(\mathcal{Q}) \in \mathbb{Z}_{>0}$  s.t.

$\forall X \in \mathcal{Q}, \exists G_X$  BIG WEIL  
DIVISOR ON  $X$

SUCH THAT

$$\varphi_{|G_X|} : X \dashrightarrow \mathbb{P}^{h^0(G_X)-1}$$

IS BIRATIONAL ONTO ITS IMAGE

AND  $\text{vol}(G_X) \leq C$ .

EXAMPLE • Fix  $d \in \mathbb{Z}_{>0}$ . LET

$$\mathcal{R}_d = \left\{ X \mid \begin{array}{l} X \text{ IS SMOOTH PROJECTIVE, } X \text{ IS RATIONAL,} \\ \dim X = d \end{array} \right\}$$

$\mathcal{R}_d$  IS BIRATIONALLY BOUNDED BUT NOT BOUNDED.

• HARD: [J. LIU, '05]

$\mathcal{F}_3^{\text{ket}} := \left\{ Y \mid Y \text{ IS A KLT FANO 3-FOLD} \right\}$  IS NOT BIRATIONALLY BOUNDED!

$$\mathcal{F}_2^{\text{ket}} \subseteq \mathcal{R}_2$$

BIR.

BOUNDED

# BOUNDEDNESS

DEFINITION LET  $\mathcal{D}$  BE A COLLECTION OF LOG PAIRS.

WE SAY THAT  $\mathcal{D}$  IS LOG BOUNDED

IF THERE EXISTS

PROJECTIVE  
MORPHISMS OF  
SCHEMES OF  
FINITE TYPE

$$X \supseteq E$$

$$\begin{array}{ccc} & & E \\ & \swarrow h & \\ X & & \\ \downarrow h & & \\ T & & \end{array}$$

$$T$$

$$(X_t, E_t)$$

↑ variety  
↑ reduced divisors

SUCH THAT  $\forall (X, B) \in \mathcal{D}$ ,

$\exists t \in T$  S.T.

$$X \xrightarrow{\pi} X_t \text{ ISOM.}$$

+

$$\pi(\text{Supp}(B)) = E_t$$

**THEOREM** [ALEXEEV IN  $\dim=2$ , HAACON-MCKERNAN-XU] descending  
chain  
condition

Fix  $d \in \mathbb{Z}_{>0}$ ,  $v \in \mathbb{R}_{>0}$ ,  $I \subseteq [0,1]$  A DCC SET. LET [I does not  
contain  
strictly  
decreasing  
seq.]

$$\mathcal{L}CM_{d,v,I} := \left\{ (X,B) \mid \begin{array}{l} \dim X = d, (X,B) \text{ is (s)LC,} \\ K_X + B \text{ AMPLE, } (K_X + B)^d = v, \\ \text{coeff's of } B \in I \end{array} \right\}.$$

THE COLLECTION  $\mathcal{L}CM_{d,v,I}$  IS LOG BOUNDED.

[KOLLÁR, KOVÁCS-PATAKFAZLI, ...]: THEOREM



∃ Moduli spaces for log canonical models

$\mathcal{M}_{d,v,I}^{KSBA}$  parametrizing isomorphism classes of pair  $(X,B)$  as above

# THEOREM [ALEXEEV IN $\dim=2$ , BIRKAR]

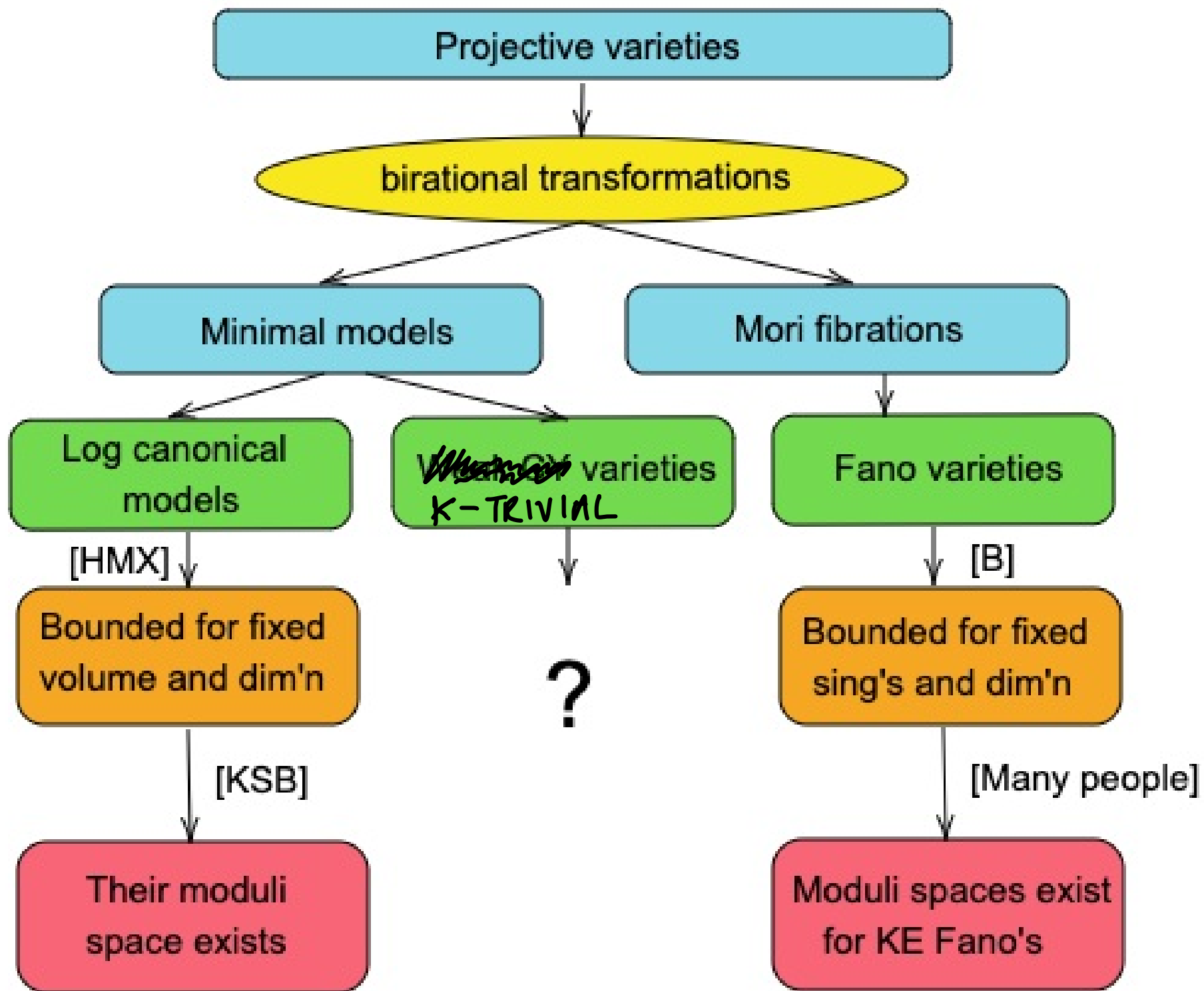
Fix  $d \in \mathbb{Z}_{>0}$ ,  $\varepsilon \in \mathbb{R}_{>0}$ . LET

$$\mathcal{F}_{d,\varepsilon} := \left\{ X \mid \begin{array}{l} \dim X = d, \exists B \text{ on } X \text{ s.t.} \\ \underline{\alpha(X, B) \geq \varepsilon}, \quad \underline{- (K_X + B) \text{ ample}} \end{array} \right\}.$$

THE COLLECTION  $\mathcal{F}_{d,\varepsilon}$  IS BOUNDED.

[Fiang, Xu - Liu - Blum - Zhang - HL - ...]

$\exists$  moduli spaces for K-stable Fano varieties



# K-TRIVIAL VAR'S & BOUNDEDNESS

THEOREM [BEAUVILLE-BOGOMOLOV] LET  $X$  BE A SMOOTH PROJECTIVE  $K$ -TRIVIAL VARIETY. THEN,

$$\exists X \xleftarrow{\text{ÉTALE}} X' = A \times \prod_{i=1}^r C_i \times \prod_{j=1}^s H_j$$

↑ ABELIAN
↑ IRREDUC. CY
↑ IRRED. HOL. SYMP.

$$K \equiv 0 \Rightarrow K \underset{\mathbb{Q}}{\sim} 0$$

1<sup>st</sup> APPROX. ! UNDERSTAND BOUNDEDNESS FOR  
 ABEL., CY, IHS.

THEOREM [KOLLÁR, MATSUSAKA, DEMAILLY, SIU]

LET  $X$  BE A SMOOTH  $\overset{K_X \sim 0}{\cancel{K}}$ -TRIVIAL VAR.

LET  $H$  BE AN AMPLE CARTIER DIVISOR ON  $X$ .

THEN,  $\exists m = m(\dim X)$  S.T.  $|mH|$  IS VERY AMPLE.

THM  $\Rightarrow \mathcal{L}_{d,v} = \left\{ X \mid \begin{array}{l} \dim X = d, K_X \not\sim 0 \\ \exists H \text{ ample Cartier} \\ \text{with } H^d \leq v \end{array} \right\}$   
is bounded.



# THEOREM [KOLLÁR, MATSUSAKA, DEMAILLY, SIU]

LET  $X$  BE A SMOOTH  $K$ -TRIVIAL VAR.

LET  $H$  BE AN AMPLE CARTIER DIVISOR ON  $X$ .

THEN,  $\exists m = m(\dim X)$  S.T.  $|mH|$  IS VERY AMPLE.

$\dim = 1$  BOUNDED

Are  $K3$  SURFACES  $\forall d \in \mathbb{Z}_{>0}$   $\mathcal{H}_{2d} = \{X \mid X \text{ is } K3$   
not bounded  $X_{\text{gen}} \in \mathcal{H}_{2d}$  has  $\rho(X_{\text{gen}}) = 1$   $\exists H$  ample w/  $H^2 = 2d$   
primitive  $\}$

• ABELIAN VARIETIES come in  $\infty$  - families in each fixed  $\dim > 1$   
algebraic

• IHS ~~HK~~ VAR'S ARE UNBOUNDED IN ANY FIXED  $\dim$

$\text{Hilb}^{[n]}(K3)$

# CY VAR'S & BOUNDEDNESS

CONJECTURE/QUESTION [REID, YAU] Fix  $d \in \mathbb{Z}_{>0}$ .

ARE IRREDUCIBLE SMOOTH CY VAR'S OF  $\dim = d$  BOUNDED  
EITHER IN THE ALGEBRAIC OR IN THE TOPOLOGICAL SENSE?

# CY VAR'S & BOUNDEDNESS

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EITHER IN THE ALGEBRAIC OR IN THE TOPOLOGICAL SENSE?

BUT FINALLY, WE HAVE SOME GOOD NEWS

THEOREM [GROSS]

$\mathcal{E}CY_3 = \{ X \mid \dim X = 3, X \text{ is } 1CY, \left. \begin{array}{l} X \rightarrow Y \text{ elliptic} \\ Y \text{ is } \cancel{\text{RAT'L surface}} \end{array} \right\}$

$\mathcal{E}CY_3$  is birationally bounded

[FILIPAZZI-HACON-5]  $\mathcal{E}CY_3$  is BOUNDED.

# ELLIPTIC CALABI-YAU: VARIETIES

$$\begin{array}{c} X \\ \downarrow f \\ Y \end{array}$$

$$\textcircled{X \text{ ICY}}$$

$f$  connected fibers  
 $\dim X - \dim Y = 1$

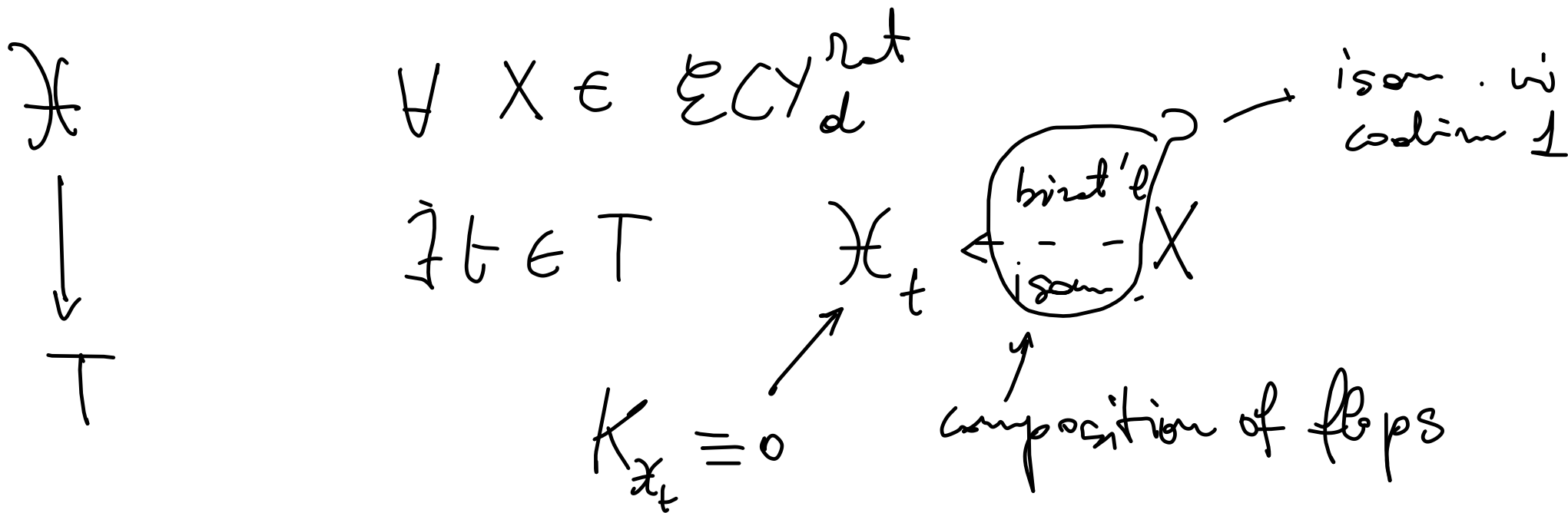
the general fiber of  $f$  is elliptic.

[KOLLÁR]: with some condition  $c_2(X) - f^* H^{(2)} \neq 0$   
any small deformation of an elliptic X ICY  
is still elliptic

THEOREM [BIRKAR-DICERBO-S] Fix  $d \in \mathbb{Z}_{>0}$ .

$$\mathcal{E}CY_d^{\text{rat}} := \left\{ X \mid \begin{array}{l} \dim X = d, \quad X \text{ICY}, \\ X \longrightarrow Y \text{ elliptic} + Y \overset{\text{rat'l section}}{\dashrightarrow} X \end{array} \right\}$$

$\mathcal{E}CY_d^{\text{rat}}$  is birationally bounded [bounded up to flops]



$$b_2(x^3) \gg 1 \quad \Rightarrow \quad \exists \quad X' \leftarrow \text{---} X$$

$\downarrow$  elliptic  
 $Y$

[Wilson]

# STRATEGY FOR GROSS'S THM

$X$  <sup>ICY</sup>  
↓  
 $Y$



$X_J$  <sup>CY</sup>  
↓  
 $Y_J$

ret'l section



DIVIDE  
 $\mathcal{X}$   
CONQUER

Study  $\mathcal{Y}_J$  str. + Boundedness

Study boundedness of  $X_J$  using  $\mathcal{Y}_J$



$X_J$  is bounded



Study  $\mathcal{X}_J$   
Total structure is finite